

Pacifica Partners Inc. &
Pacifica Partners Capital Management Inc.

Form ADV Part 2B
Brochure Supplement

AJ Sull, CFA, CMT
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This brochure supplement provides information about advisory personnel AJ Sull, Aman Bhangu, and Naveen Gopal that supplements the brochure of Pacifica Partners Capital Management Inc. and its parent company, Pacifica Partners Inc. (collectively, the "Registrants"). You should have received a copy of that brochure. Please contact us at 1.877.576.8908 or compliance@pacificapartners.com if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about AJ Sull, Aman Bhangu, and Naveen Gopal is available on the SEC's Investment Adviser Public Disclosure (IAPD) website at www.adviserinfo.sec.gov.

AJ SULL

President and Chief Investment Officer

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born: 1971

Education:

Bachelor of Business Admin. and Economics – Simon Fraser University
MBA – Segal Graduate School of Business

Work Experience:

Pacifica Partners Inc. - President and Chief Investment Officer (2008-Present)
Pacifica Partners Capital Management Inc. - President and Chief Investment Officer (2012-Present)

DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for AJ Sull.

OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. No information is applicable to this Item for AJ Sull.

ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for AJ Sull.

SUPERVISION

AJ Sull is the President and Chief Investment Officer of the Registrants and is responsible for overseeing Registrants' advisory personnel. Mr. Sull adheres to the Registrants' Compliance Policies & Procedures Manual and Code of Ethics.

PROFESSIONAL DESIGNATIONS

Mr. Sull holds the Chartered Financial Analyst * and Chartered Market Technician ** designations.

AMAN BHANGU

Vice President, Research and Portfolio Manager

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born: 1976

Education:

Bachelor of Science (Mathematics) – Simon Fraser University

MBA – Segal Graduate School of Business

Work Experience:

Pacifica Partners Inc. – VP, Research and Portfolio Manager (2008-Present), Chief Compliance Officer (2016-Present)

Pacifica Partners Capital Management Inc. – VP, Research and Portfolio Manager (2012-Present), Chief Compliance Officer (2016-Present)

DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Aman Bhangu.

OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. No information is applicable to this Item for Aman Bhangu.

ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Aman Bhangu.

SUPERVISION

Aman Bhangu is directly supervised by AJ Sull, President and Chief Investment Officer of the Registrants. Mr. Sull can be reached at 877.576.8908. Mr. Bhangu adheres to the Registrants' Compliance Policies & Procedures Manual and Code of Ethics.

PROFESSIONAL DESIGNATIONS

Mr. Bhangu holds the Chartered Financial Analyst * designation.

NAVEEN GOPAL

Vice President, Client Services and Portfolio Manager

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born: 1978

Education:

Bachelor of Science (Biochemistry) – Simon Fraser University

MBA – Segal Graduate School of Business

Work Experience:

Pacifica Partners Inc. – VP, Client Services and Portfolio Manager (2008-Present)

Pacifica Partners Capital Management Inc. – VP, Client Services and Portfolio Manager (2012-Present)

DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Naveen Gopal.

OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. No information is applicable to this Item for Naveen Gopal.

ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Naveen Gopal.

SUPERVISION

Naveen Gopal is directly supervised by AJ Sull, President and Chief Investment Officer of the Registrants. Mr. Sull can be reached at 877.576.8908. Mr. Gopal adheres to the Registrants' Compliance Policies & Procedures Manual and Code of Ethics.

PROFESSIONAL DESIGNATIONS

Mr. Gopal holds the Chartered Investment Manager *** designation.

OTHER INFORMATION

* The Chartered Financial Analyst (CFA) designation is issued by the CFA Institute. CFA candidates must meet one of the following requirements: (1) undergraduate degree and four years of professional experience involving investment decision-making, or (2) four years qualified work experience (full time, but not necessarily investment- related). To receive the CFA designation, candidates must complete the CFA Program which is organized into three levels, each requiring 250 hours of self-study and each culminating in a six-hour exam. There are no ongoing continuing education or experience thresholds necessary to maintain the CFA designation. More information about the designation is available at <https://www.cfainstitute.org>.

**The Chartered Market Technician (CMT) designation is issued by the Market Technicians Association. To earn the CMT designation, candidates must complete three levels of courses, each culminating in an exam. The Level I exam is two hours long while the Level II and III exams are four hours long. Candidates must also have three years of acceptable professional experience. No prerequisite coursework is required prior to receiving the CMT designation. More information about the CMT designation is available at <https://www.mta.org>.

***The Chartered Investment Manager (CIM) designation is offered by the Canadian Securities Institute. To earn the CIM designation, candidates must complete certain investment management related courses. Candidates must also have at least 2 years of experience within the past 5 years involved in an investment management capacity that includes applying or supervising any aspect of the investment management process. More information about the designation is available at <https://www.csi.ca>